

# Peptide Conjugation: Before or After Nanoparticle Formation?

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## Supporting Information



**ABSTRACT:** We report herein a detailed study concerning the impact of different bioconjugation and nanoformulation strategies on the *in vitro* targeting ability of peptide-decorated squalenoyl gemcitabine (SQdFdC) nanoparticles (NPs). NPs have been functionalized with the CKAAKN peptide, previously identified as an efficient homing device within the pancreatic pathological microenvironment. Two approaches have been followed: (i) either the CKAAKN peptide was directly conjugated at the surface of preformed SQdFdC nanoparticles (conjugation after NP formation) or (ii) it was first reacted with a maleimide squalenoyl derivative before the resulting bioconjugate was co-nanoprecipitated with SQdFdC to form the peptide-decorated NPs (conjugation before NP formation). NPs were characterized with respect to mean diameter, zeta potential, and stability over time. Then, their specific interaction with the sFRP-4 protein was evaluated by surface plasmon resonance. Although both synthetic strategies allowed us to formulate NPs able to interact with the corresponding receptor, enhanced target binding and better specific avidity were observed with CKAAKN-NPs functionalized before NP formation. These NPs displayed the highest cell uptake and cytotoxicity in an *in vitro* model of human MIA Paca-2 pancreatic cancer cells.

## ■ INTRODUCTION

The ability to selectively deliver drugs to tumor cells with minimal interaction with healthy tissues is a major challenge in chemotherapy. To this aim, suitable ligands able to bind to specific receptors, overexpressed on cancer cells and relatively downregulated on healthy tissues, have been successfully employed as a valuable strategy to specifically target tumors.<sup>1</sup> By means of molecular recognition, such targeted therapy has improved the balance between efficacy and toxicity of conventional systemic anticancer treatments<sup>2,3</sup> as observed, for instance, in the case of antibody-conjugated chemotherapeutic agents.<sup>3–5</sup> Several antibody–drug conjugates are currently included in clinical trials with encouraging results,<sup>6</sup> and two examples, Adcetris (Takeda, Seattle Genetics) and Kadcyla (Roche, Genentech), have recently been approved by FDA and EMA.<sup>7,8</sup>

In parallel, the use of targeting ligands grafted to the surface of nanoscale drug delivery devices (i.e., nanomedicines) appears as another promising approach.<sup>9</sup> Compared to molecular conjugates, nanocarriers offer the advantage to protect the drug molecule from degradation and to better control drug release. Moreover, by tuning the number of ligand molecules onto the nanocarrier surface, targeting efficacy might be improved via a multivalent binding process.<sup>10</sup> In the past 30 years, a plethora of targeted nanoparticles functionalized with various ligands belonging to the families of small molecules, polysaccharides,

peptides, proteins, or even antibodies has been developed.<sup>11,12</sup> Nanomedicines are gaining more and more attention in cancer therapy<sup>13,14</sup> with 5 examples on the market and 10 in clinical development.<sup>15</sup> However, no ligand-decorated nanomedicine has reached the market and only 5 are currently in early clinical trials.<sup>15,16</sup>

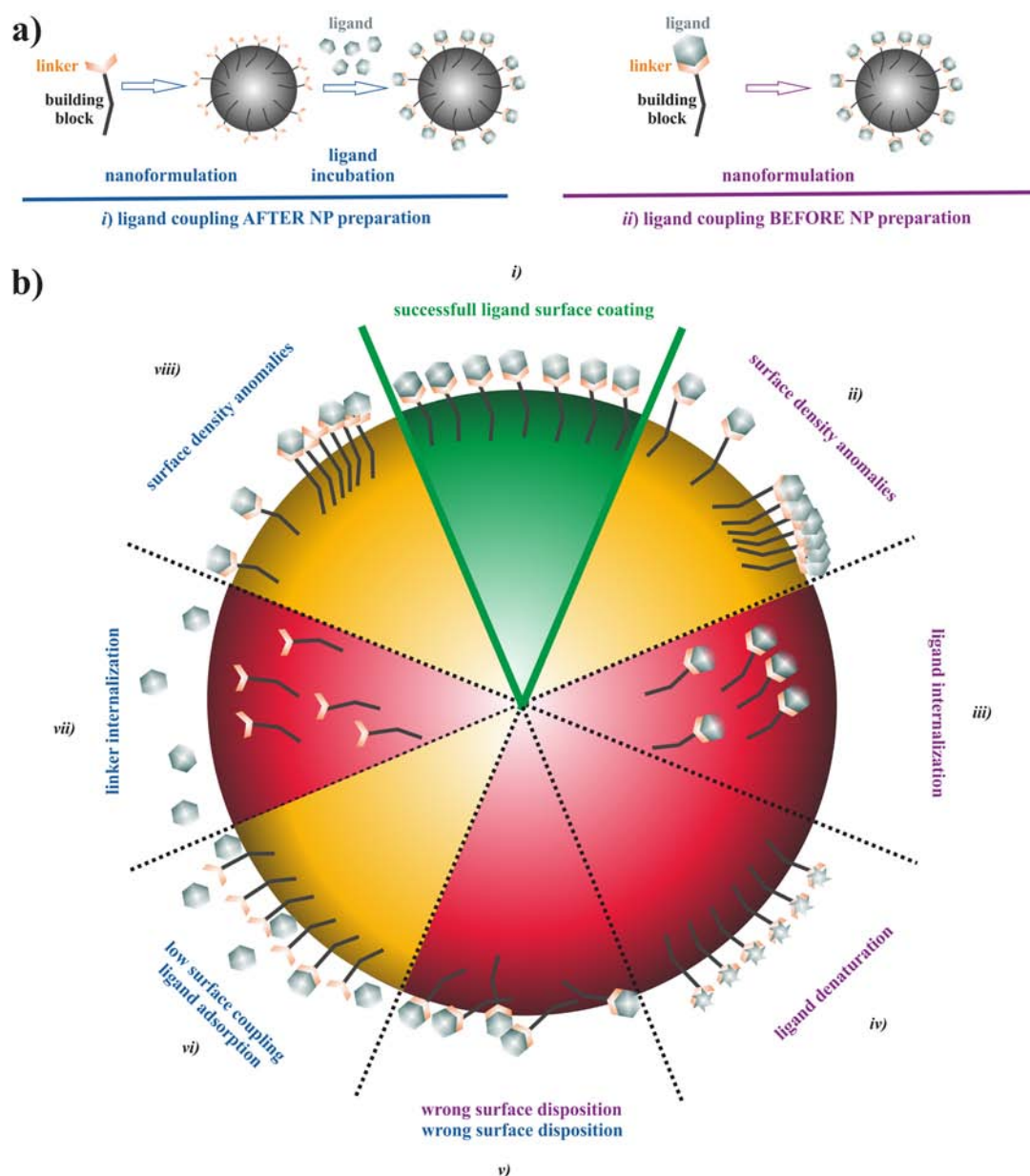
Several functionalization strategies and coupling methods have been developed, each of them exhibiting benefits and drawbacks (for systematic review, see ref 11). In general, the targeting efficacy of a functionalized nanocarrier is governed by (i) the nature of the matrix and the ligand, (ii) the selected coupling reaction, (iii) the disposition of the ligand at the surface, and (iv) the ligand surface density.<sup>17–22</sup> Two main ligation strategies have been used to display the targeting agents at the surface of the nanocarriers: the ligand can either (i) be directly coupled in aqueous solution to the surface of preformed nanocarriers or (ii) be linked to the nanocarrier components prior to nanocarrier construction (Figure 1a).

The first strategy is generally preferred because the ligand is directly grafted onto the nanoparticle surface and available for the receptor recognition, whereas the linkage of bulky ligands

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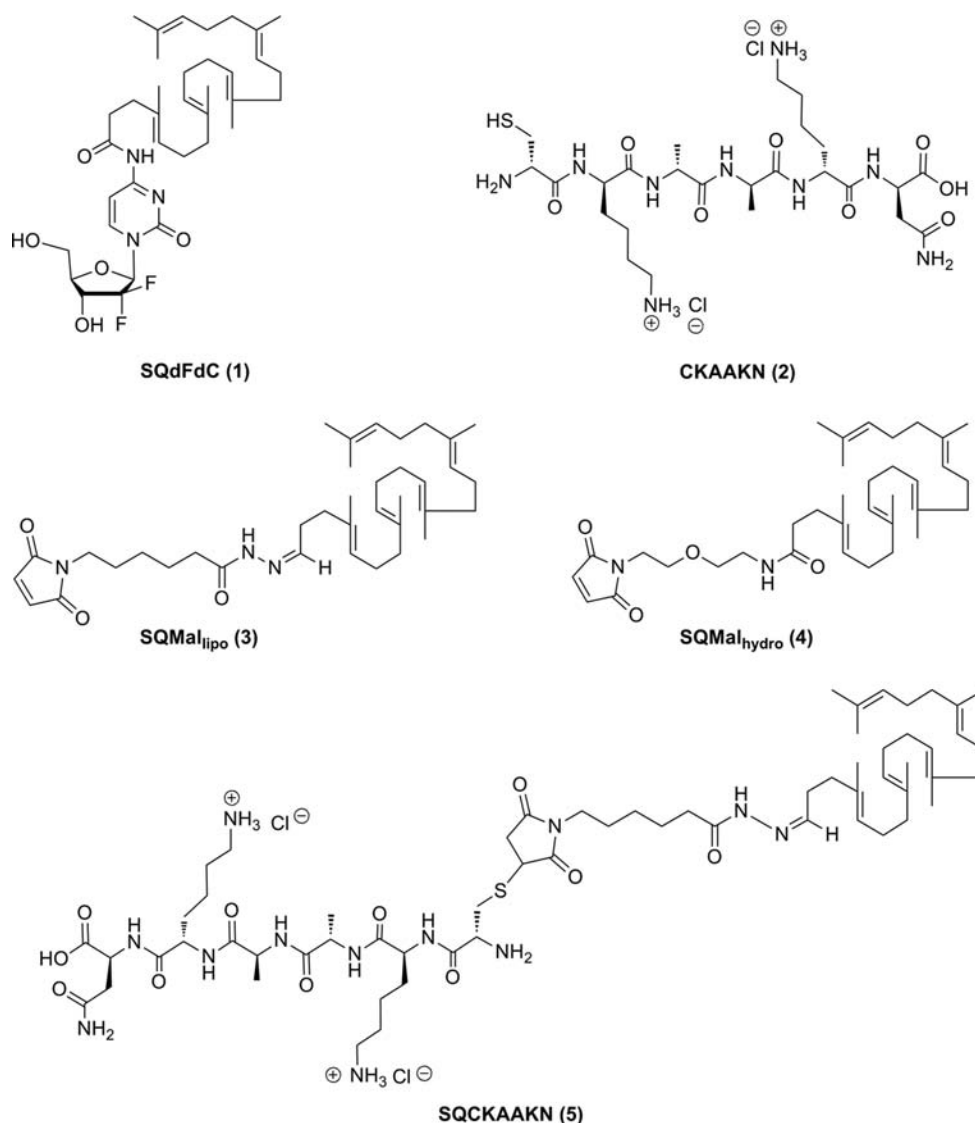
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**Figure 1.** (a) Strategies to prepare ligand-functionalized NPs: (i) the ligand can be coupled to the surface after nanoparticle formation or (ii) be directly conjugated to the nanocarrier building material prior to NP formation. (b) Schematic representation of possible ligand disposition after both ligation strategies. Blue titles are referred to (i) ligand coupling after nanoparticle preparation and violet ones to (ii) ligand coupling before nanoparticle preparation. The green segment symbolizes the successful ligand coating, while yellow and red ones correspond to low and inefficient ligand disposition, respectively.

(such as polypeptides, proteins, or antibodies) to the nanocarrier building material might alter its hydrophilic/lipophilic balance and its self-assembly properties.<sup>11</sup> An undeniable advantage of the second strategy relies on the possibility of controlling the reaction yield, to purify and characterize the resulting conjugate. However, the solubilization in organic solvents, that is sometimes required, could cause denaturation of the proteins or antibodies compromising their affinity for the receptor. In addition, in this approach the ligand is susceptible to entrapment into the nanocarrier core during nanoparticle preparation, leading to insufficient surface coverage and lower availability for interaction with the corresponding receptor. Therefore, a comparative study about advantages/disadvantages of each strategy should be done for any new system, in order to achieve successful actively targeted nanoparticles.

In this study, we report a rational approach for the design of ligand-targeted squalene-based nanoparticles for specific drug delivery to pancreatic tumor cells. The “squalenoylation” approach consists in the chemical linkage of squalene (SQ), a natural triterpene precursor in the sterol biosynthesis, to biologically active molecules. The obtained lipid bioconjugates are able to self-assemble in aqueous solution to form stable nanoparticles with high drug payloads.<sup>23</sup> Such an innovative concept has first been applied to gemcitabine (dFdC), which is the first-line therapeutic agent in pancreatic cancer treatment.<sup>24</sup> After intravenous administration, 4-(*N*)-trisnorsqualenoylgemcitabine (SQdFdC) nanoparticles exhibited impressively greater anti-cancer activity than the free drug against different tumor models (e.g., experimental leukemia and pancreatic cancer).<sup>23,25–27</sup> However, the physio-pathological complexity of pancreatic



**Figure 2.** Structures of SQdFdC (1), CKAANK peptide (2), SQMal<sub>lipo</sub> (3), SQMal<sub>hydro</sub> (4), and SQCKAANK (5).

cancer<sup>28</sup> urgently required the development of better targeted therapeutic approaches to enhance selective nanoparticle internalization by cancer cells, also avoiding potential side effects.

In this context, functionalized SQdFdC nanoparticles were prepared by linking the CKAANK peptide, previously identified as efficient homing device within the pancreatic pathological microenvironment by phage display screening.<sup>29</sup> These nanoparticles were found to be more efficient than non CKAANK-functionalized nanoparticles on a transgenic mice model of pancreatic cancer.<sup>30</sup> Herein, we performed a comparative study to establish the optimal CKAANK peptide-SQdFdC nanoparticle ligation strategy. Briefly, CKAANK peptide was conjugated to the nanoparticles by the well-explored thiol-maleimide Michael addition coupling strategy either (i) by reacting the targeting moiety with preformed nanoparticles obtained in accordance with the nanoprecipitation technique (Figure 1a, left panel) or (ii) by conjugating the peptide with a squalenoyl derivative before constructing nanoparticles by mixing the obtained bioconjugate with SQdFdC (Figure 1a, right panel). The resulting CKAANK-SQdFdC nanoparticles were characterized with respect to size, zeta potential, stability, receptor binding affinity, and in vitro targeting ability.

## RESULTS AND DISCUSSION

**Preparation and Characterization of Nontargeted Nanoparticles.** In order to obtain stable CKAANK-functionalized SQdFdC nanoparticles, the formulation of the colloidal system was deeply investigated by tuning the preparation conditions. In particular, a maleimide group-bearing squalene derivative (SQMal) able to link the peptide via its thiol function was added to SQdFdC (1) in various molar ratios. Moreover, two different spacers were introduced between the squalene moiety and the maleimide group. In the case of the SQMal<sub>lipo</sub> (3),<sup>30</sup> a 6-carbon atom lipophilic chain served as a spacer, while for the SQMal<sub>hydro</sub> (4),<sup>31</sup> a hydrophilic ether chain was inserted (Figure 2).

All NPs were prepared by nanoprecipitation, using acetone or ethanol as organic solvent and without adding any surfactant. Maleimide-bearing SQdFdC NPs were prepared by adding SQMal<sub>lipo</sub> or SQMal<sub>hydro</sub>.

SQdFdC and SQMal<sub>lipo</sub> were co-nanoprecipitated at various SQdFdC/SQMal<sub>lipo</sub> molar ratios (10:1, 5:1, 2:1) with a constant SQdFdC concentration (either in acetone or in ethanol), giving a suspension of narrow monodispersed NPs (N1). Addition of SQMal<sub>lipo</sub> led to a slight size increase compared to SQdFdC NPs

Table 1. Nanoparticle Composition and Characterization

NPs <sup>a</sup>	solvent used in the nanoprecipitation	composition	components molar ratio	mean diameter (nm ± S.D.)	polydispersity index	zeta potential (mV ± S.D.)	stability at 4 °C (days)
N0 <sub>a</sub>	acetone	SQdFdC	-	141 ± 42	0.087	-22 ± 4	3
N0 <sub>e</sub>	ethanol	SQdFdC	-	122 ± 8	0.103	-22 ± 5	3
N1 <sub>a</sub>	acetone	SQdFdC/SQMal <sub>lipo</sub>	10:1	164 ± 42	0.066	-27 ± 3	7
	acetone	SQdFdC/SQMal <sub>lipo</sub>	5:1	171 ± 41	0.057	-29 ± 3	7
	acetone	SQdFdC/SQMal <sub>lipo</sub>	2:1	182 ± 20	0.047	-36 ± 3	3
N1 <sub>a</sub> -P	acetone	SQdFdC/SQMal <sub>lipo</sub> /CKAAKN	10:1:0.5	281 ± 10	0.134	-15 ± 1	<3
	acetone	SQdFdC/SQMal <sub>lipo</sub> /CKAAKN	10:1:0.25	187 ± 7	0.067	-11 ± 1	<3
	acetone	SQdFdC/SQMal <sub>lipo</sub> /CKAAKN	5:1:0.5	aggregation			
N1 <sub>a</sub> -P*	acetone	SQdFdC/SQMal <sub>lipo</sub> /CKAAKN	5:1:0.25	245 ± 16	0.141	-10 ± 2	3
N1 <sub>e</sub>	ethanol	SQdFdC/SQMal <sub>lipo</sub>	10:1	132 ± 9	0.100	-22 ± 7	3
	ethanol	SQdFdC/SQMal <sub>lipo</sub>	5:1	167 ± 9	0.053	-22 ± 3	3
	ethanol	SQdFdC/SQMal <sub>lipo</sub>	2:1	aggregation			
N2 <sub>a</sub>	acetone	SQdFdC/SQMal <sub>hydro</sub>	10:1	aggregation			
	acetone	SQdFdC/SQMal <sub>hydro</sub>	5:1	aggregation			
N2 <sub>e</sub>	ethanol	SQdFdC/SQMal <sub>hydro</sub>	10:1	183 ± 5	0.055	-16 ± 1	<3
	ethanol	SQdFdC/SQMal <sub>hydro</sub>	5:1	177 ± 3	0.053	-25 ± 3	<3
N3	acetone	SQMal <sub>lipo</sub> 0.5 mg/mL	-	600 ± 180	0.091	-56.57 ± 3	7
	acetone	SQMal <sub>lipo</sub> 1 mg/mL	-	387 ± 101	0.068	-38.47 ± 3	7
	acetone	SQMal <sub>lipo</sub> 2 mg/mL	-	436 ± 148	0.099	-49.81 ± 4	7
N4-P	ethanol	SQdFdC/SQCKAAKN	1:0.1	186 ± 104	0.032	11 ± 2	<3
	ethanol	SQdFdC/SQCKAAKN	1:0.05	897 ± 11	0.332	7 ± 23	<3
N4-P*	ethanol	SQdFdC/SQCKAAKN	1:0.01	168 ± 16	0.117	-6 ± 5	3

<sup>a</sup> <sub>a</sub> denotes NPs formulated using acetone as solvent; <sub>e</sub> denotes NPs formulated using ethanol as solvent; \* denotes formulations chosen for Surface Plasmon Resonance analysis and *in vitro* studies.

which displayed a mean diameter of 141 and 122 nm when prepared in acetone (N0<sub>a</sub>) or ethanol (N0<sub>e</sub>), respectively (Table 1).

SQdFdC/SQMal<sub>hydro</sub> NPs (N2) were prepared by co-nanoprecipitation of SQdFdC and SQMal<sub>hydro</sub> at two molar ratios (10:1, 5:1), with a constant SQdFdC concentration. Ethanol turned out to be the best solvent, while when acetone was employed NPs instantly aggregated. As observed for SQdFdC/SQMal<sub>lipo</sub>, N2<sub>e</sub> displayed a mean diameter of less than 200 nm and a narrow particle size distribution (Table 1). However, the presence of an ether chain between the maleimide group and the squalene moiety affected the nanoparticle stability, probably due to a modification of the internal inverted hexagonal phase structure of SQdFdC nanoparticles.<sup>32</sup> On the contrary, a higher stability was observed when a lipophilic chain was used as spacer (SQMal<sub>lipo</sub>).

According to these results, the SQMal<sub>lipo</sub> was preferred to the SQMal<sub>hydro</sub> for the preparation of peptide-targeted SQdFdC nanoparticles. Acetone was selected as solvent due to the higher colloidal stability of N1<sub>a</sub> nanoparticles compared to those prepared in ethanol (N1<sub>e</sub>). Using acetone as solvent and a low or medium amount of SQMal<sub>lipo</sub> (SQdFdC/SQMal<sub>lipo</sub> 10:1 or 5:1), no precipitation was detected after 1-week storage at 4 °C.

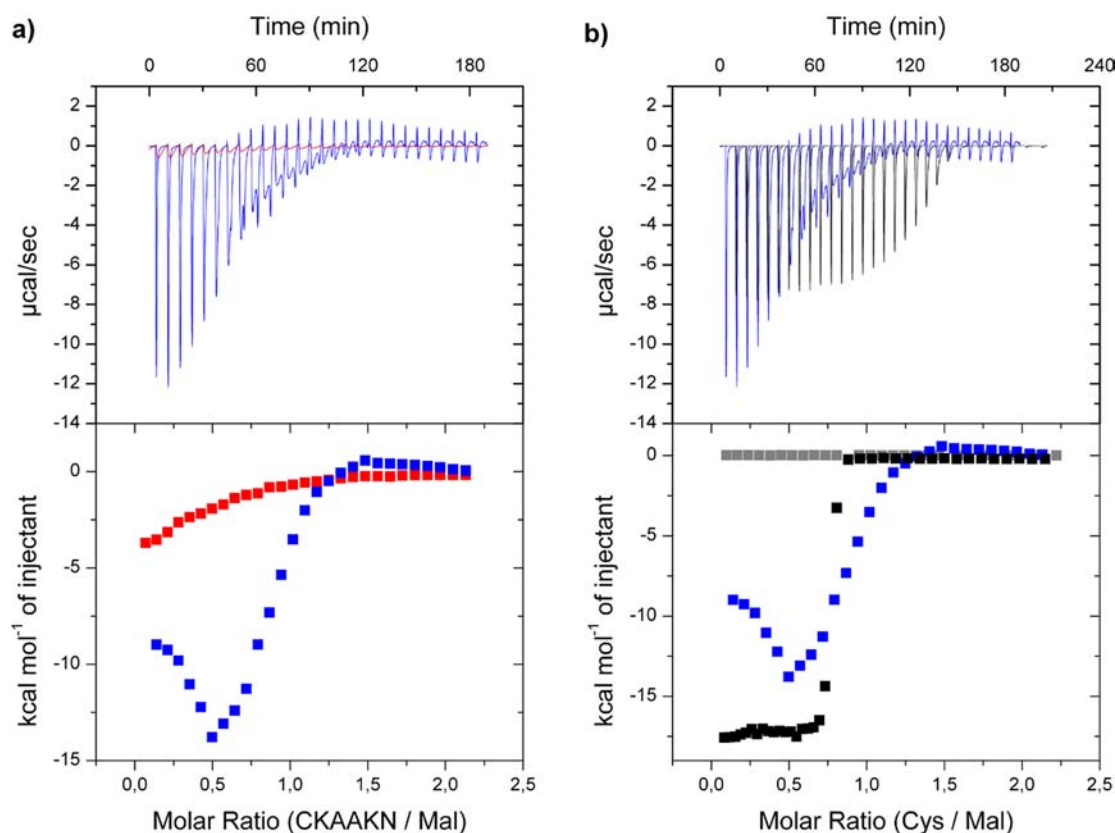
SQMal<sub>lipo</sub> alone was able to spontaneously self-assemble in water forming nanoparticles (N3) which, however, displayed a large mean diameter (400–600 nm) (Table 1).

Compared to SQdFdC NPs (N0) or SQMal<sub>lipo</sub> NPs (N3), mixed SQdFdC/SQMal<sub>lipo</sub> NPs showed an intermediate mean diameter with higher colloidal stability, suggesting that the

co-nanoprecipitation technique allowed an efficient incorporation of SQMal<sub>lipo</sub> in the resulting composite nanoparticles probably due to the capacity of the squalene chain to insert into the nanoparticle core. SQMal<sub>lipo</sub> NPs (N3) showed zeta potential values ranging from around -30 to -60 mV. Accordingly, the zeta potential value of N1<sub>a</sub> (SQdFdC/SQMal<sub>lipo</sub> NPs prepared using acetone) gradually decreased (from around -20 to -40 mV) with the increase of SQMal<sub>lipo</sub> in the formulation (Table 1). On the other hand, when ethanol was used as solvent (N1<sub>e</sub>), the amount of SQMal<sub>lipo</sub> did not influence the zeta potential, suggesting that this experimental setting not only led to less stable NPs, but probably also did not allow the right exposure of the maleimide groups. Although for pure SQdFdC NPs the choice of the solvent did not influence the colloidal characteristics,<sup>23,33,34</sup> these results were in concordance with other experiments that highlighted the crucial role of the solvent in the nanoparticle self-organization;<sup>35–38</sup> they also supported the choice of using only the acetone-formulated SQdFdC/SQMal<sub>lipo</sub> NPs for further investigations.

**Preparation and characterization of targeted nanoparticles by coupling CKAAKN peptide to preformed nanoparticles.** As mentioned before, the CKAAKN peptide is able to react with the maleimide function of the spacer via the cysteine thiol group present in its sequence, leading to the formation of a stable thioether bond. It is noteworthy that this site of derivatization does not alter the ligand/receptor recognition, as confirmed by the fact that the same function was used for performing the previous phage display *in vivo* screening.<sup>29</sup>





**Figure 3.** Isothermal titration calorimetry analysis of the interaction between CKAACKN, cysteine (Cys), and maleimide groups. The raw and the integrated binding heats are shown in the upper and lower panels, respectively. Heat flows accounting for dilution effects were further subtracted from each experimental heat flow. (a) ITC thermograms upon injection of CKAACKN solution into either  $\text{NO}_a$  (red) or  $\text{NI}_a$  (blue) suspension. (b) ITC thermograms upon injection of (i) a Cys solution into either maleimide solution (black) or  $\text{NI}_a$  suspension (blue) and (ii) injection of lysine into maleimide solution (gray).

CKAACKN conjugation on preformed nanoparticles allows peptide moieties to be disposed only at the surface of the carriers, thus being more available for receptor recognition. For this purpose, the peptide thiol group was reacted with maleimide functions of  $\text{NI}_a$  (SQdFdc/SQMal<sub>lipo</sub> molar ratio 10:1 or 5:1) using different Mal/peptide molar ratios (1:0.5 or 1:0.25) to obtain  $\text{NI}_a\text{-P}$ . Targeted NPs showed a higher mean diameter compared to untargeted ones (Table 1). In some cases, aggregation occurred and/or the stability was very low. The zeta potential value increased with the peptide amount (from  $-23$  to  $-10$  mV), probably due to the presence of two protonatable amino groups in the CKAACKN moiety ((2) Figure 2). SQdFdc/SQMal<sub>lipo</sub>/CKAACKN molar ratio 5:1:0.25 NPs ( $\text{NI}_a\text{-P}^*$ ) showed the highest stability and only this nanoformulation was therefore used for further studies. The analyses of the amount of conjugated peptide on these NPs revealed the presence of 106 866 peptide molecules per NP.

The interaction between the thiol groups and maleimide functions onto  $\text{NI}_a$  surface was investigated by isothermal titration calorimetry (ITC) analysis. This technique is widely used in nanotechnology for characterizing thermodynamics and stoichiometry of intermolecular interactions allowing the evaluation of the association constant ( $K$ ), the stoichiometry, the enthalpy ( $\Delta H$ ), and the entropy ( $\Delta S$ ) from which the Gibbs free energy ( $\Delta G$ ) of the process can be calculated.<sup>39–41</sup> The heat flows were determined when peptide aliquots were added to  $\text{NO}_a$  (used as control) or  $\text{NI}_a$  suspension placed in the titration cell accurately thermostated (Figure 3a). ITC thermograms showed two

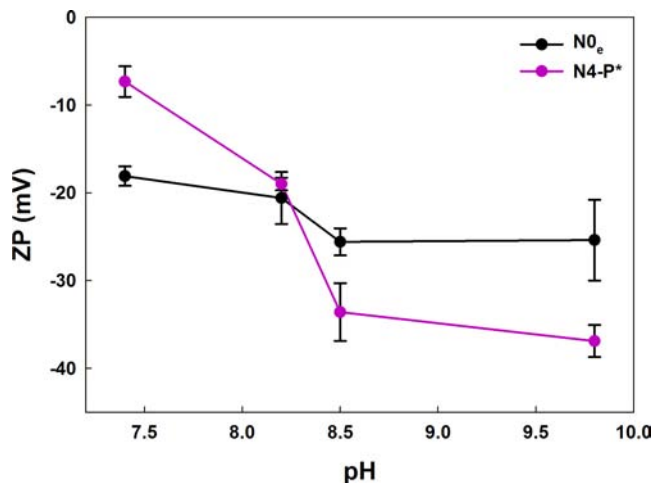
different signatures: (i) a first one mainly related to the non-specific adsorption of the peptide to the nanoparticle surface and (ii) a second one mostly attributed to the interaction with maleimide functions, observed only in the presence of these groups onto  $\text{NI}_a$ . Such a hypothetical mechanism could be supported by the enthalpograms of the interaction between cysteine and maleimide groups in solution (Figure 3b). Whether the thiol–maleimide Michael addition happened on the nanoparticle surface (Figure 3b, blue line) or in solution (Figure 3b, black line), the thermodynamics parameters, including the stoichiometry of the interaction, were comparable. Despite the maleimide group being highly specific for thiols, at basic pH some secondary nucleophilic additions from the amine groups present in the two lysines of CKAACKN sequence could also take place,<sup>42,43</sup> modifying the recognition sequence of the peptide. For this reason, we also verified that no interactions between lysines and the maleimide groups were established in the same conditions (Figure 3b, gray line).

To note that, according to ITC results, the Michael addition reaction occurred to a larger extent for CKAACKN/Mal molar ratios ( $N$ ) higher than 0.5. In light of these results, it appeared that the CKAACKN/Mal molar ratio (1:0.25) used to formulate the  $\text{NI}_a\text{-P}^*$  led to the coexistence of both noncovalent interactions (demonstrated by ITC in other cases such as biomolecular recognition or inclusion complexes<sup>39–41</sup>) and covalent conjugation of the CKAACKN onto the NP surface (Figure 1b, vi). However, higher CKAACKN/Mal molar ratios could not be used because they led to  $\text{NI}_a$  aggregation

(Table 1), likely due to peptide instability at the nanoparticle surface.

#### Preparation of Targeted Nanoparticles by Coupling CKAANK to SQMal<sub>lipo</sub> Prior to Nanoparticle Preparation.

In this approach the CKAANK peptide was previously conjugated to SQMal<sub>lipo</sub> to give SQCKAANK bioconjugate (**5**) which was then co-nanoprecipitated with SQdFdC at various SQdFdC/SQCKAANK molar ratios (1:0.1, 1:0.05, 1:0.01), leading to the formation of peptide-functionalized NPs (**N4-P**). In this case only ethanol was used as organic solvent because of the limited solubility of SQCKAANK in acetone. The main problem of this synthetic strategy is that the targeting agent could be buried in the nanoparticle core during the nanocarrier formation. Interestingly, the zeta potential gradually increased (from around  $-22$  to  $+11$  mV) with the increase of the percentage of SQCKAANK in the formulations, suggesting the surface distribution of the peptide. As observed by DLS analysis, a SQdFdC/SQCKAANK molar ratio higher than 1:0.01 led to nanoparticle destabilization and aggregation. Hence, SQdFdC/SQCKAANK 1:0.01 molar ratio NPs (**N4-P\***), which showed a significant decrease of the absolute surface charge compared to untargeted NPs (**N0<sub>e</sub>**) ( $-6$  vs  $-22$  mV) and only a slight increase of the mean diameter, were chosen for further investigations. According to the hydrophilic nature of the peptide we might assume that during the nanoprecipitation process the CKAANK molecules localize on the NP surface. On the basis of the SQdFdC/SQCKAANK 1:0.01 molar ratio used for **N4-P\*** formulation, a value of 28 385 molecules of CKAANK per NP was obtained. Interestingly, **N4-P\*** showed a marked reduction of the zeta potential value when increasing the pH of the medium from 7.4 to 9.8 (Figure 4).

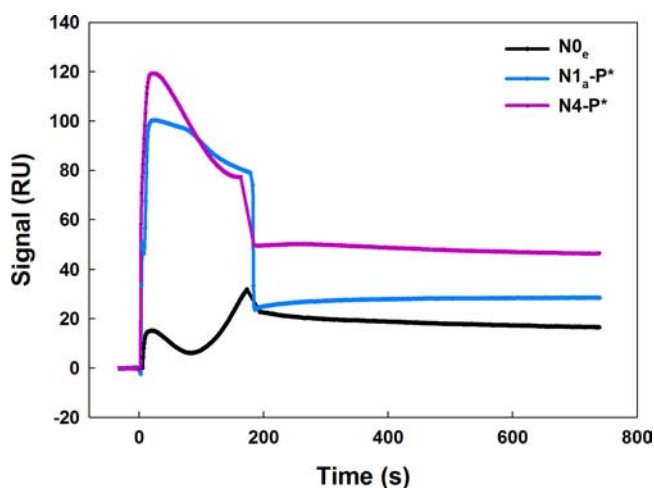


**Figure 4.** Surface charge of **N0<sub>e</sub>** and **N4-P\*** as a function of pH was investigated by zeta potential (ZP) measurement at 25 °C after dilution with 0.02 M phosphate buffer at pH values ranging from 7.4 to 9.8. Values represent mean  $\pm$  SD,  $n = 3$ .

This probably resulted from the deprotonation of the lysine amino groups, thus supporting again the hypothesis of the presence of the peptide onto the surface of the nanoparticles, which is a crucial parameter to obtain an efficient targeting toward cancer cells. Only a slight increase of the **N4-P\*** mean diameter has been observed over a period of 72 h after incubation at 37 °C in water and in cell culture medium containing 10% FBS (Supporting Information Figure S4).

**Surface Plasmon Resonance Analysis.** While for **N4-P\*** the peptide was covalently conjugated to the nanoparticle

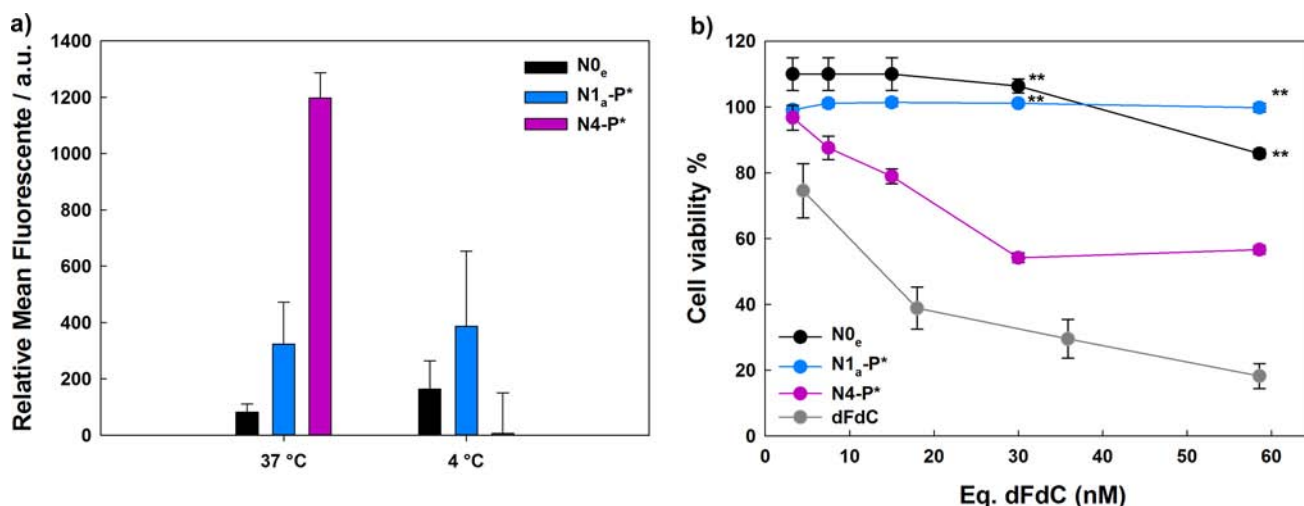
surface, in the case of **N1<sub>a</sub>-P\*** the ITC results suggested both adsorption and conjugation of the peptide on the NP surface. Covalent conjugation, in contrast to adsorption, ensures a stronger association between the ligand and the nanoparticles, especially after in vivo administration.<sup>44</sup> However, ligand association to the nanoparticle by weak interactions or surface adsorption has also been proven as an alternative strategy to tailor targeted nanoparticles.<sup>44,45</sup> Therefore, both coupling strategies were further investigated and the peptide targeting capability of **N1<sub>a</sub>-P\*** and **N4-P\*** was evaluated by surface plasmon resonance (SPR). Since it was reported that the sequence CKA-K shared motifs with the Wnt-2 protein,<sup>29</sup> sFRP-4, a secreted frizzled-related protein that binds Wnt-2, was immobilized onto the sensor chip surface.<sup>30,46</sup> The ability of the free CKAANK peptide to specifically interact with the sensor chip-immobilized sFRP-4 was also verified.<sup>30</sup> Although both **N1<sub>a</sub>-P\*** and **N4-P\*** were able to specifically interact with the receptor (which was not the case for untargeted **N0<sub>e</sub>**), **N4-P\*** enabled to reach the more important plasmonic signal after PBS washing (Figure 5).<sup>30</sup>



**Figure 5.** SPR sensorgrams (resonance units (RU) versus time) obtained by simultaneous injections of nonfunctionalized SQdFdC NPs (**N0<sub>e</sub>**) 180  $\mu$ M, SQdFdC/SQMal<sub>lipo</sub>/CKAANK 5:1:0.25 NPs (**N1<sub>a</sub>-P\***) 180  $\mu$ M, SQdFdC/SQCKAANK 1:0.01 NPs (**N4-P\***) 180  $\mu$ M over sFRP-4 immobilized on two parallel channels of the same sensor chip.

The enhanced target binding and specific avidity of **N4-P\*** suggested that the peptide conjugation prior to nanocarrier formation was the most efficient methodology to obtain enhanced binding and avidity, ensuring stronger association between nanoparticles and sFRP-4 receptor. This is of significance since earlier studies<sup>22,47–51</sup> have shown that the binding of a surface-functionalized nanoparticle to its target receptor is not only related to the presence of the ligand on the nanoparticle surface.

**In Vitro Studies.** In order to confirm the on the bench SPR results, the receptor targeting ability as a function of the synthetic strategy was evaluated in in vitro cell culture experiments. To this purpose, a preliminary Western Blot analysis on several cell lines was performed in order to assess their frizzled-5 (FZD-5) receptor expression (Supporting Information Figure S2). The MIA PaCa-2 cells were identified as high FZD-5-expressing cells and this result was further confirmed by immunocytochemistry (Supporting Information Figure S3). MIA PaCa-2 cells were



**Figure 6.** (a) MIA PaCa-2 cell uptake quantification of SQdFdC NPs (N0<sub>e</sub>), SQdFdC/SQMal<sub>ipo</sub>/CKAANK 5:1:0.25 NPs (N1<sub>a</sub>-P\*), and SQdFdC/SQCKAANK 1:0.01 NPs (N4-P\*) after 6 h incubation at 37 and 4 °C. All NPs were labeled with BChol-green and incubated at a final concentration of 1 μM eq SQdFdC. (b) Cell viability of MIA PaCa-2 cells treated with increasing concentrations of dFdC as free drug (dFdC), SQdFdC NPs (N0<sub>e</sub>), SQdFdC/SQMal<sub>ipo</sub>/CKAANK 5:1:0.25 NPs (N1<sub>a</sub>-P\*), or SQdFdC/SQCKAANK 1:0.01 NPs (N4-P\*) for 72 h at 37 °C. Cytotoxicity of nanoparticles was compared to nontreated cells. Values represent mean ± SEM. Statistical difference N0<sub>e</sub> vs N4-P\* and N1<sub>a</sub>-P\* vs N4-P\* (Student's *t* test with Bonferroni correction for multiple comparisons) is marked by \*\* (*p* < 0.01).

then incubated for 6 h with BChol-green-labeled N0<sub>e</sub>, BChol-green-labeled N1<sub>a</sub>-P\*, or BChol-green-labeled N4-P\*.

Although slight differences were detected after treatment with N0<sub>e</sub> and N1<sub>a</sub>-P\*, remarkably the cell fluorescence intensity dramatically increased (by 14-fold) after N4-P\* incubation (Figure 6a). These results clearly indicated a more efficient internalization of N4-P\* in comparison to N1<sub>a</sub>-P\* in MIA PaCa-2 cells.

When cells were incubated at 4 °C, the internalization of N4-P\* dramatically decreased, suggesting that peptide-functionalized NPs were internalized via an energy dependent route. The internalization of the nanocarrier is a key issue for the drug to be efficient.<sup>52</sup> Thus, in order to investigate whether the peptide functionalization enabled increased drug efficacy compared to the untargeted NPs, the *in vitro* cytotoxicity of the different NPs and the free drug was investigated. As previously reported,<sup>25</sup> all NPs were less cytotoxic than free dFdC due to their prodrug nature. Nevertheless, N4-P\* caused a higher cytotoxicity compared to both N0<sub>e</sub> and N1<sub>a</sub>-P\* (Figure 6b). It is worth mentioning that for N4-P\* the 50% inhibition of cell viability (IC<sub>50</sub>) was already reached at 30 nM (eq dFdC), while untargeted N0<sub>e</sub> were not efficient in killing cancer cells in these conditions. These results clearly demonstrated the ability of CKAANK-functionalized NPs not only to keep the anticancer activity of the SQdFdC, but also to significantly enhance the cytotoxicity. As expected, according to the ITC, SPR, and internalization data, N1<sub>a</sub>-P\* did not improve cytotoxicity in comparison to N0<sub>e</sub> treatment, thus suggesting the absence of specific tumor targeting ability when the peptide was mainly adsorbed at the NP surface.

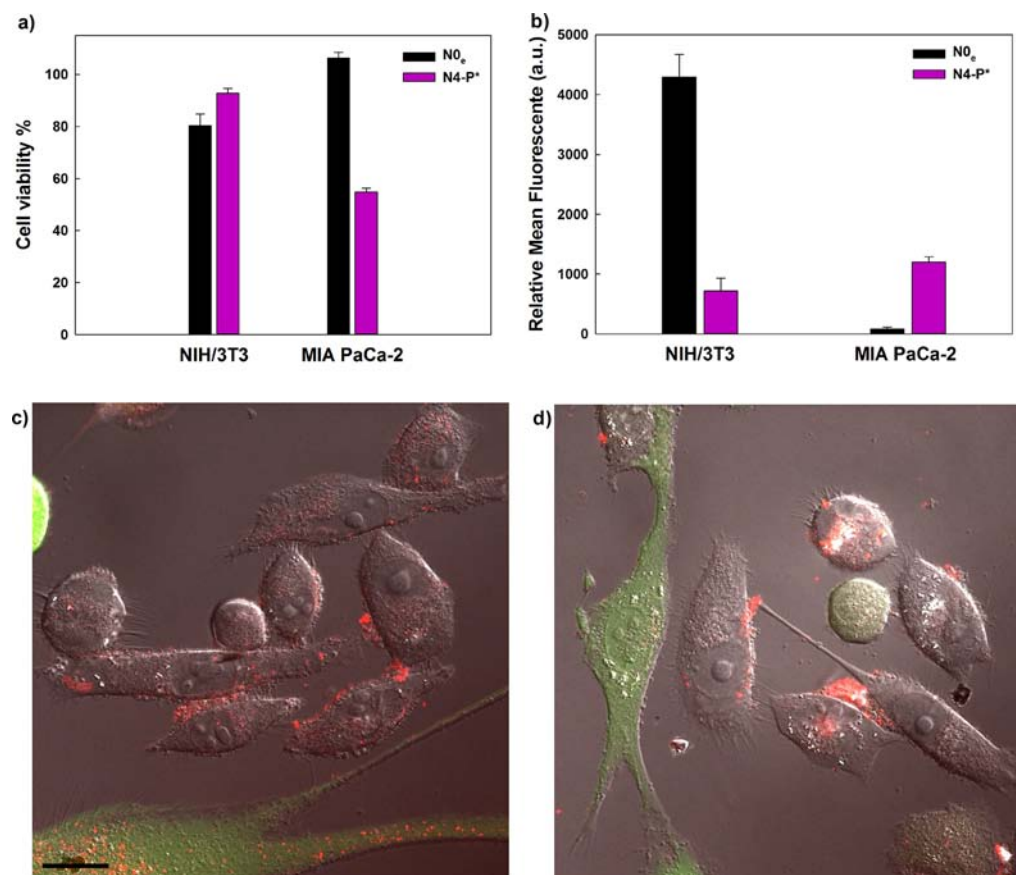
To further investigate the ability of N4-P\* to specifically target cancer cells, the interaction with NIH/3T3, a non-cancerous fibroblastic cell line, was studied and compared to the uptake by MIA PaCa-2 cancer cells. Western blot analysis showed that NIH/3T3 healthy cells displayed a low expression of FZD-5 receptor (Supporting Information Figure S2). As shown in Figure 7, nonfunctionalized nanoparticles (N0<sub>e</sub>) were taken up mainly by NIH/3T3 fibroblasts in a nonspecific manner, resulting in higher accumulation in this cell line. On the contrary,

N4-P\* were internalized into MIA PaCa-2 cells by a receptor-mediated mechanism, which allowed nanoparticles to achieve highly selective tumor cell uptake and toxicity, while decreasing nonspecific accumulation into healthy cells (Figure 7a). As shown by fluorescence quantification studies after 6 h, the peptide-functionalization caused a 6-fold decrease of nanoparticles uptake by healthy NIH/3T3 cells compared to MIA PaCa-2 cells (Figure 7b). Cytotoxicity studies clearly demonstrated that peptide functionalization was able to selectively increase cytotoxicity toward MIA PaCa-2 pancreatic cancer cells. Indeed, the same concentration of N4-P\* (i.e., 30 nM eq dFdC) responsible for the 50% reduction of cell viability on malignant MIA PaCa-2 cells maintained more than 93% of viable healthy NIH/3T3 cells (Figure 7a). Of note, nonfunctionalized NPs were not efficient at killing cancer cells, since 100% cell viability was still observed after incubation at the same concentration. The higher uptake of N0<sub>e</sub> by NIH/3T3 fibroblasts was not accompanied by important cytotoxicity, as consequence of a lower sensitivity of this cell line to gemcitabine at the tested doses, as already reported in the literature.<sup>53,54</sup> The selective capture of functionalized NPs by cancer cells was further confirmed in a coculture experiment of healthy NIH/3T3 cells (stained in green) and MIA PaCa-2 cells (unstained) incubated with red fluorescently labeled nanoparticles (Figure 7c,d). Confocal images clearly showed similar uptake of nonfunctionalized NPs in both tumor and healthy cells (Figure 7c), whereas N4-P\* were selectively captured by tumor cells and healthy cells were saved from nanoparticle recognition (Figure 7d).

## CONCLUSIONS

This study demonstrates that the best strategy to prepare CKAANK-functionalized SQdFdC NPs relies in the conjugation of the peptide to the SQMal<sub>ipo</sub> derivative prior nanoparticle formation. Taken together, our results clearly highlight that actively targeted nanoparticles should not be simply designed as single modular assemblies of scaffold, linker, and targeting ligand, but rigorous studies must be performed in order to clarify how different structural components collaborate to reach the most





**Figure 7.** (a) Viability of NIH/3T3 and MIA PaCa-2 cells treated for 72 h with SQdFdc NPs ( $N0_e$ ) and SQdFdc/SQCKAAKN NPs ( $N4-P^*$ ) (30 nM equiv dFdc). Values represent mean  $\pm$  SEM. (b) Quantification of cellular uptake of BChol-green-labeled SQdFdc NPs ( $N0_e$ ) and BChol-green-labeled SQdFdc/SQCKAAKN NPs ( $N4-P^*$ ) in NIH/3T3 and MIA PaCa-2 cells at 37 °C. Values represent mean  $\pm$  SEM. (c) Confocal laser-scanning microscopy images of a coculture of NIH/3T3 (green) and MIA PaCa-2 cells (unstained) after incubation with BChol-red-labeled SQdFdc NPs ( $N0_e$ ) and (d) BChol-red-labeled SQdFdc/SQCKAAKN NPs ( $N4-P^*$ ). Scale bar = 20  $\mu$ m.

efficient cell targeting ability. In a nutshell, the successful functionalization of SQdFdc nanoparticles makes this system a good example to highlight the subtle equilibrium which governs the design of actively targeted NPs able to effectively improve anticancer activity.

## EXPERIMENTAL PROCEDURES

**Chemicals.** Gemcitabine (2',2'-difluorodeoxycytidine, dFdc) hydrochloride was purchased from Sequoia Research Products Ltd. (Pangbourne, UK). CKAAKN peptide was purchased from CASLO Laboratory Aps (Lyngby, Denmark). Squalene, dextrose, maleimide, and all other reagents were obtained by Sigma-Aldrich Chemical Co. (Milan, Italy). Squalene derivatives (Figure 2) (4-(N)-trisnorsqualenoylgemcitabine (SQdFdc) (1),<sup>23</sup> 6-(maleimidocaproyl)hydrazone of squalene (SQMal<sub>lipo</sub>) (3),<sup>30</sup> 2-[2-(2,5-dioxo-2,5-dihydro-1H-pyrrol-1-yl)-ethoxy]ethyl (4E,8E,12E,16E)-4,8,13,17,21-pentamethyl-docos-4,8,12,16,20-pentaenoate (SQMal<sub>hydro</sub>) (4)<sup>31</sup> were obtained as previously reported. All solvents were of analytical grade from Carlo Erba Reagenti (Milan, Italy) or VWR (Fontenay-sous-Bois, France).

**Preparation and Characterization of Nontargeted Nanoparticles.** SQdFdc, SQdFdc/SQMal<sub>lipo</sub>, SQdFdc/SQMal<sub>hydro</sub>, and SQMal<sub>lipo</sub> nanoparticles (NPs) were prepared by nanoprecipitation<sup>23,55</sup> (Table 1). Practically, for SQdFdc NPs ( $N0$ ), 2 mg of SQdFdc were dissolved in acetone ( $N0_a$ ) or ethanol ( $N0_e$ ); the organic solution was then added dropwise

under magnetic stirring into Milli-Q water (solvent/water 1:2 v/v). Formation of the nanoparticles occurred spontaneously without using any surfactant. After solvent evaporation under reduced pressure, an aqueous suspension of nanoparticles was obtained (final SQdFdc concentration: 2 mg/mL).

For SQdFdc/SQMal<sub>lipo</sub> NPs ( $N1$ ), SQdFdc and SQMal<sub>lipo</sub> were codissolved in acetone ( $N1_a$ ) or ethanol ( $N1_e$ ) in various SQdFdc/SQMal<sub>lipo</sub> molar ratios (10:1 (2 mg of SQdFdc and 0.183 mg of SQMal<sub>lipo</sub>), 5:1 (2 mg of SQdFdc and 0.366 mg of SQMal<sub>lipo</sub>), and 2:1 (2 mg of SQdFdc and 0.915 mg of SQMal<sub>lipo</sub>)). The organic solution was then added dropwise under magnetic stirring into Milli-Q water (solvent/water 1:2 v/v). Formation of the nanoparticles occurred spontaneously without using any surfactant. After solvent evaporation under reduced pressure, an aqueous suspension of nanoparticles was obtained (final SQdFdc concentration: 2 mg/mL).

For SQdFdc/SQMal<sub>hydro</sub> NPs ( $N2$ ), SQdFdc and SQMal<sub>hydro</sub> were codissolved in ethanol in various molar ratios (10:1 (2 mg of SQdFdc and 0.164 mg of SQMal<sub>hydro</sub>) and 5:1 (2 mg of SQdFdc and 0.328 mg of SQMal<sub>hydro</sub>)). The organic solution was then added dropwise under magnetic stirring into Milli-Q water (ethanol/water 1:2 v/v). Formation of the nanoparticles occurred spontaneously without using any surfactant. After solvent evaporation under reduced pressure, an aqueous suspension of nanoparticles was obtained (final SQdFdc concentration: 2 mg/mL).



For SQMal<sub>lipo</sub> NPs (N3), 2 mg of SQMal<sub>lipo</sub> was dissolved in acetone at various concentrations. After the addition of the organic solution to Milli-Q water (acetone/water 1:2 v/v) and solvent evaporation, an aqueous suspension of nanoparticles was obtained (SQMal<sub>lipo</sub> concentration: 0.5, 1, or 2 mg/mL).

Fluorescently labeled SQdFdc NPs and fluorescently labeled SQdFdc/SQMal<sub>lipo</sub> NPs were obtained using the same procedure, unless 1% (w/w) of the fluorescent probe CholEsteryl BODIPY FL C12 (BChol-green) or CholEsteryl BODIPY 542/563 C11 (Life Technologies, Molecular Probes, Saint Aubin, France) was dissolved in the ethanolic solution before dropwise addition into water.

The mean particle size and the polydispersity index of all formulations were measured by dynamic light scattering (DLS) with a Nano ZS from Malvern (UK) (173° scattering angle) at 25 °C. The measurements were performed after dilution of the NP suspensions (1/25 v/v) in Milli-Q water. The NP surface charge was investigated by zeta potential measurements at 25 °C after dilution with 0.05 mM KCl solution applying the Smoluchowski equation and using the same apparatus. Measurements were carried out in triplicate. The colloidal stability of the formulations was evaluated by measuring the size and the zeta potential of the nanoparticles over a storage period of 7 days at 4 °C.

**Preparation and Characterization of Targeted Nanoparticles by Coupling CKAANK Peptide to Preformed Nanoparticles.** CKAANK stock solution (100X) was prepared by dissolving the peptide in 1 mM freshly degassed phosphate buffer at pH 8.2. To obtain CKAANK-conjugated SQdFdc/SQMal<sub>lipo</sub> NPs (N1–P), 100  $\mu$ L of N1<sub>a</sub> were added dropwise under gentle magnetic stirring to 1 mL of CKAANK working solution (1X) in various molar ratios (SQdFdc/SQMal<sub>lipo</sub>/CKAANK molar ratio 10:1:0.5 (0.2 mg of SQdFdc, 0.0183 mg of SQMal<sub>lipo</sub>, and 0.0098 mg of CKAANK) or 10:1:0.25 (0.2 mg of SQdFdc, 0.0183 mg of SQMal<sub>lipo</sub>, and 0.0049 mg of CKAANK) or 5:1:0.5 (0.2 mg of SQdFdc, 0.036 mg of SQMal<sub>lipo</sub>, and 0.0196 mg of CKAANK) or 5:1:0.25 (0.2 mg of SQdFdc, 0.036 mg of SQMal<sub>lipo</sub>, and 0.0098 mg of CKAANK); final SQdFdc concentration: 0.18 mg/mL) (Table 1). The reaction was carried out for 1 h at room temperature under stirring. The CKAANK-functionalized nanoparticles (N1<sub>a</sub>-P) were then purified from unreacted peptide by centrifugal filter units (30 kDa) at 14000 g for 1 min (Amicon Ultra-0.5, Millipore). The mean size, the polydispersity index, and the zeta potential of purified N1<sub>a</sub>-P were determined at 25 °C by DLS as described above. The stability of the formulations was evaluated by measuring the size and the zeta potential of the nanoparticles over a storage period of 7 days at 4 °C. The amount of peptide molecules at the surface of the NPs has been evaluated by (i) titration of unreacted peptide in an unpurified aliquot of conjugated nanoassemblies by reaction with the Ellman's reagent (5,5'-dithio-bis(2-nitrobenzoic acid), DTNB) by quantitative UV spectrophotometric analysis (absorbance at 412 nm);<sup>56</sup> (ii) a retro-titration of the available maleimide groups present on the nanoparticle surface by quantitative fluorimetric analysis (Maleimide Quantification Assay Kit (Fluorometric) Abcam, ab112141) according to manufacturer's instructions.

**Isothermal Titration Calorimetry (ITC) Analysis of N1<sub>a</sub> Nanoparticles.** The interaction between the maleimide (Mal) function and the CKAANK peptide was investigated by isothermal titration calorimetry. The ITC instrument (VP-ITC, MicroCal, GE Healthcare Life Sciences, Velizy-Villacoublay, France) was periodically calibrated either electrically, using an internal electric heater, or chemically by measuring the dilution

enthalpy of methanol in water. This standard reaction was in excellent agreement (1–2%) with the MicroCal constructor data.<sup>57,58</sup> In a typical experiment, aliquots of 10  $\mu$ L of CKAANK peptide, cysteine (Cys), or lysine in 0.03 M phosphate buffer solution at pH 8.2 (3.1 mM equiv Cys or 12.4 mM equiv lysine) filled into 283  $\mu$ L syringe were used to titrate a suspension of either N1<sub>a</sub> or maleimide in 0.03 M phosphate buffer solution at pH 8.2 (0.31 mM equiv Mal) into the calorimetric cell accurately thermostated at 25 °C. The corresponding heat flows were recorded as a function of time. Intervals between injections were 600 s and agitation speed was 220 rpm. Control experiments with N0<sub>a</sub> into the calorimetric cell were carried out using the equivalent total squalene amount present in N1<sub>a</sub>. Background of titration consisted of injecting the CKAANK peptide or Cys in solely phosphate buffer solution placed in the sample cell.

The interaction process was analyzed by employing the models proposed in the Windows-based Origin 7 software package supplied by MicroCal. Based on the concentrations of the two species, the software used a nonlinear least-squares algorithm to fit the series of heat flows to an equilibrium binding equation, providing the best-fit values of the stoichiometry, binding constant (*K*), and change in enthalpy ( $\Delta H$ ). From these results, the differences in free energy ( $\Delta G$ ) and the entropy ( $\Delta S$ ) were deducted according to the equation

$$\Delta G = -RT \ln K = \Delta H - T\Delta S$$

#### Preparation of Targeted Nanoparticles by Coupling CKAANK to SQMal<sub>lipo</sub> Prior to Nanoparticle Preparation.

To obtain SQdFdc/SQCKAANK nanoparticles (N4–P), CKAANK peptide was first conjugated to SQMal<sub>lipo</sub> to give SQCKAANK (5) (Supporting Information Figure S1). To obtain SQMal<sub>lipo</sub> (3), a solution of 1,1',2-trisnorsqualenic aldehyde (8) (0.334 g, 0.868 mmol) in CH<sub>2</sub>Cl<sub>2</sub> was added to dry methanol (15 mL). The resulting mixture was sonicated few minutes until complete dissolution. [6-(Maleimido)hexanamido]azanium tri-fluoroacetate (7) (0.306 g, 0.868 mmol) and 4 Å molecular sieves (200 mg) were then added and the reaction mixture was stirred for 1 h at room temperature under nitrogen. The formation of the desired product (3) was monitored by TLC (petroleum ether/ethyl acetate 1/1 v/v, *R<sub>f</sub>*: 0.65). The mixture was filtered and concentrated under reduced pressure. The residue was taken into water (5 mL) and extracted with CH<sub>2</sub>Cl<sub>2</sub> (3  $\times$  15 mL). The combined organic phases were dried over anhydrous MgSO<sub>4</sub> and concentrated in vacuo. Purification by flash-chromatography on silica column, eluting with a gradient of petroleum ether to petroleum ether/ethyl acetate 60/40 v/v, gave the product as a light yellow waxy material (0.211 g, 63% yield) (Supporting Information Figure S1).

<sup>1</sup>H NMR (CDCl<sub>3</sub>)  $\delta$ : 8.39 (s, 1H, CH=NN), 7.05 (t, *J* = 5.2 Hz, 1H, NHCO), 6.68 (s, 2H, CO–CH=CHCO), 5.14–5.07 (m, 5H, HC=C(CH<sub>3</sub>)), 3.54–3.49 (t, *J* = 7.2 Hz, 2H, CH<sub>2</sub>N), 2.70–2.50 (m, 2H, CH<sub>2</sub>CONH), 2.40–1.90 (m, 20H, =C(CH<sub>3</sub>)CH<sub>2</sub>CH<sub>2</sub>), 1.80 (s, 3H, HC=C(CH<sub>3</sub>)<sub>2</sub>), 1.76–1.65 (m, 12H, HC=C(CH<sub>3</sub>)CH<sub>2</sub>), 1.62–1.60 (m, 4H, NCH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CON), 1.41–1.33 (m, 2H, NCH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CON). <sup>13</sup>C NMR (CDCl<sub>3</sub>)  $\delta$ : 171.2, 166.3, 147.3, 135.8, 135.7–132.0, 125.9–124.7, 42.5, 39.7–26.4, 38.2, 36.6, 31.0, 26.9, 25.9, 24.6–16.4, 22.4. MS (EI): *m/z* (%) 81 (70), 110 (100), 192 (55), 591 (3). HPLC analysis: Symmetry C18 column, 5  $\mu$ m (Merck, Italy) equipped with a C18 column guard, elution with 100% methanol, detection by UV adsorption measurement at 237 nm (flow rate 1 mL/min, *t<sub>r</sub>* = 5.79 min).

Peak heights were recorded and processed on a CBM-10A Shimadzu interface.

To synthesize the Michael adduct of CKAANK (2) and 6-(maleimidyl)-hexanoic acid (trisnorsqualenylidene)-hydrazide (3) (SQCKAANK, (5)), a mixture of SQMal<sub>lipo</sub> (3) (13.5 mg, 0.0229 mmol) and CKAANK peptide (2) (7.25 mg, 0.0114 mmol) in dimethylformamide (DMF)/H<sub>2</sub>O 3/1 v/v (2 mL) was stirred for 3 h at 40 °C. The reaction mixture was then concentrated under reduced pressure and the crude product was taken into diethyl ether to remove unreacted maleimide derivative. The supernatant was withdrawn after decantation (3 times). In order to eliminate unreacted peptide, the solid was dissolved in methanol and filtered through a sintered glass funnel. The product was obtained as a translucent waxy material (10 mg, 0.0082 mmol, 70% yield).<sup>30</sup> (Supporting Information Figure S1).

<sup>1</sup>H NMR (CD<sub>3</sub>OD, 400 MHz) due to the presence of a 7:3 E/Z mixture at the hydrazone bond and to the asymmetric center on the maleimide moiety some signals are split,  $\delta$ : 7.43 (t,  $J$  = 5.4 Hz, 0.7H, CH=NNHCO), 7.28 (m, 0.3H, CH=NNHCO), 5.20 (t,  $J$  = 6.6 Hz, 1H, HC=C(CH<sub>3</sub>)), 5.15–5.06 (m, 4H, HC=C(CH<sub>3</sub>)), 4.71 (t,  $J$  = 5.6 Hz, 1H, NOCCH-(CH<sub>2</sub>CONH<sub>2</sub>)NH), 4.42–4.25 (m, 5H, NOCCH(CH<sub>3</sub>)NH, NOCCH(CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>NH<sub>3</sub>)NH, NOCCH(CH<sub>2</sub>S)NH<sub>2</sub>), 3.70–3.60 (m, 1H, CH<sub>2</sub>S(CHCH<sub>2</sub>CONCO), 3.52 (t,  $J$  = 7.0 Hz, 2H, CH<sub>2</sub>N-maleimide), 3.50–3.43 (m, 1H, CH<sub>2</sub>SCHCH<sub>2</sub>CO), 3.30–3.10 (m, 3H, CH<sub>2</sub>S(CHCH<sub>2</sub>CONCO), CH<sub>2</sub>S(CHCH<sub>2</sub>-CONCO), 3.02–2.92 (m, 4H, CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>NH<sub>3</sub>), 2.83 (dd,  $J$  = 16.0 Hz,  $J$  = 6.4 Hz, 1H, NOCCH(CH<sub>2</sub>CONH<sub>2</sub>)NH), 2.76 (dd,  $J$  = 16.0 Hz,  $J$  = 4.8 Hz, 1H, NOCCH(CH<sub>2</sub>CONH<sub>2</sub>)NH), 2.62–2.30 (m, 4H, CH<sub>2</sub>CH<sub>2</sub>CON, CH<sub>2</sub>CH<sub>2</sub>C=NNH), 2.25–2.15 (m, 4H, CH<sub>2</sub>CH<sub>2</sub>NH<sub>3</sub>), 2.17–1.85 (m, 22H, =C(CH<sub>3</sub>)CH<sub>2</sub>CH<sub>2</sub>, NOCCH(CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>NH<sub>3</sub>)NH), 1.75–1.65 (m, 4H, NOCCH(CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>NH<sub>3</sub>)NH), 1.67 (s, 3H, =C(CH<sub>3</sub>)<sub>2</sub>), 1.64 (s, 3H, =C(CH<sub>3</sub>)CH<sub>2</sub>CH<sub>2</sub>), 1.60 (s, 12H, =C(CH<sub>3</sub>)CH<sub>2</sub>CH<sub>2</sub>), 1.60–1.45 (m, 4H, =NHNCCH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>N-maleimide), 1.40 (d,  $J$  = 7.2 Hz, 6H, COCH(CH<sub>3</sub>)NH), HNCCH<sub>2</sub>CH<sub>2</sub>-CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>N-maleimide); MS (+ESI),  $m/z$  (%), 1226.0 (100) [M + H]<sup>+</sup>, 614.5 (3) [M+2H]<sup>2+</sup>; HRMS (+ESI) calcd for C<sub>62</sub>H<sub>105</sub>N<sub>12</sub>O<sub>5</sub>S: 1225.7741; found 1225.7690.

Nanoparticles were then prepared by nanoprecipitation. Practically, SQdFdC and SQCKAANK were dissolved in 0.1 mL of ethanol in various molar ratios (SQdFdC/SQCKAANK 1:0.1 (3.42 mg of SQdFdC and 0.64 mg of SQCKAANK), 1:0.05 (3.42 mg of SQdFdC and 0.32 mg of SQCKAANK), and 1:0.01 (3.42 mg of SQdFdC and 0.064 mg of SQCKAANK)) and this organic solution was then added dropwise under magnetic stirring into 1 mL of Milli-Q water (ethanol/water 0.1:1 v/v). After solvent evaporation under reduced pressure, an aqueous suspension of nanoparticles (N4-P) was obtained (final SQdFdC concentration: 3.4 mg/mL) (Table 1). The mean size and the polydispersity index of the formulations were determined as described above. The NP surface charge was investigated by zeta potential measurements at 25 °C after dilution with 0.05 mM KCl solution or 0.02 M phosphate buffer at pH values ranging from 7.4 to 9.8, applying the Smoluchowski equation and using the same apparatus. Fluorescently labeled nanoparticles were prepared as described above. The stability of N4-P\* has been investigated over a period of 72 h after incubation at 37 °C in water and in cell culture medium containing 10% FBS.

**Surface Plasmon Resonance (SPR) Analysis.** Interaction analyses were performed on a BIAcore T100 instrument using

CMS Series S sensor chip from GE Healthcare Life Sciences Europe. The activation of the carboxymethylated dextran matrix was performed with a mixture of 0.1 M *N*-ethyl-*N'*-(3-diethylaminopropyl)-carbodiimide (EDC)/0.4 M *N*-hydroxysuccinimide (NHS) (1:1 v/v) (GE Healthcare) for 7 min. Running buffer for the immobilization process was 150 mM phosphate buffer, pH 7.4, at a flow rate of 10  $\mu$ L/min. The sFRP-4 receptor was then injected over the activated surface at 10  $\mu$ g/mL in 10 mM sodium acetate buffer at pH 4.4 during 7 min, giving an average immobilization level of 2800 resonance units (RU). A solution of 1 M ethanolamine hydrochloride at pH 8.5 was then injected during 7 min to block the unreacted sites of the matrix. For reference, an additional blank flow channel was prepared in accordance with the same process without injecting the receptor over the surface. Binding capacities of the functional surfaces were tested with 180 s injections of a peptide solution, N0<sub>e</sub>, N1<sub>a</sub>-P\* (SQdFdC/SQMal/CKAANK molar ratio 5:1:0.25) or N4-P\* (SQdFdC/SQCKAANK molar ratio 1:0.01) at different concentrations. All experiments were carried out in duplicate at 25 °C in Dulbecco-PBS (D-PBS) running buffer on the InterMol-IPSIT platform (Châtenay-Malabry, France).

**Cell Culture.** Human pancreatic carcinoma cell lines MIA PaCa-2, BxPC3, PANC-1, breast cancer cell line MCF-7, and embryonic murine fibroblasts NIH/3T3 were obtained from the American Type Culture Collection (Molsheim, France) and maintained as recommended. Briefly, MIA PaCa-2, PANC-1, MCF-7, and NIH/3T3 cells were grown in Dulbecco's Modified Eagle Medium (DMEM) supplemented with 10% heat-inactivated (56 °C, 30 min) fetal bovine serum (FBS). In addition, MIA PaCa-2 cell medium was supplemented with 2.5% heat-inactivated horse serum (Gibco, Saint Aubin, France). BxPC3 cells were maintained in Roswell Park Memorial Institute medium (RPMI 1640 Lonza, Braine-l'Alleud, Belgium) supplemented with 10% heat-inactivated FBS. All media were further supplemented with 50 U.mL<sup>-1</sup> penicillin and 50 U.mL<sup>-1</sup> streptomycin (Lonza, Levallois, France). Cells were maintained in a humid atmosphere at 37 °C with 5% CO<sub>2</sub>. Cells were used between passage 3 and 12 after thawing.

**Western Blot.** Pancreatic adenocarcinoma (BxPC3, MIA PaCa-2, PANC-1), breast cancer (MCF-7), and embryonic fibroblast cells (NIH/3T3) were lysed in RIPA buffer (Sigma-Aldrich) supplemented with Pierce phosphatase and protease inhibitor cocktail (Thermo Fisher Scientific, Perbio Science, Brebières, France), vortexed, and then centrifuged for 5 min at 3000 g. The protein concentration in the supernatant was then measured using a colorimetric assay (Bio-Rad Protein Assay Dye, Bio-Rad Laboratories, Marnes-la-Coquette, France). Equal amount of proteins (30  $\mu$ g) were boiled for 10 min with the same volume of Laemmli Sample Buffer (Bio-Rad Laboratories, Marnes-la-Coquette, France), supplemented with 5%  $\beta$ -mercaptoethanol and then separated in sodium dodecylsulfate (SDS)-polyacrylamide gels (Mini-Protean - TGX 4–15% - Precast Gels Bio-Rad) and electrically transferred to polyvinylidene difluoride (PVDF) membrane using a semidry transfer system (20 V, 1 h). The membrane was blocked with 5% dry milk suspension in 0.1% Tween 20 in PBS (blocking buffer), then incubated for 2 h at room temperature with the primary antibody solution in blocking buffer and, after 30 min washing in PBS-Tween 20 0.1% buffer, incubated for 1 h at room temperature with the secondary antibody solution in blocking buffer. Antibodies were used at the following dilutions: polyclonal rabbit anti-FZD-5 diluted 1/1000 (Millipore), mouse

anti- $\beta$ -actin diluted 1/3000 (Sigma-Aldrich), goat anti-mouse secondary antibody conjugated to horseradish-peroxidase diluted 1/2000 (SantaCruz Biotechnology, Clinisciences, Nanterre, France), and goat anti-rabbit secondary antibody conjugated to horseradish-peroxidase diluted 1/5000 (SantaCruz Biotechnology, Clinisciences). Detection of chemiluminescence was performed using the Clarity Western ECL substrate (Bio-Rad Laboratories) and images were captured by the ChemiBIS system from DNR Bioimaging Systems (Thoiry, France). MCF-7 cell line was used as a positive control.<sup>59</sup>

**Immunocytochemistry.** MIA PaCa-2 cells ( $2.5 \times 10^4$  cells/mL) were plated in growing medium onto microscopic glass slides in 24-well plate and incubated for 24 h at 37 °C with 5% CO<sub>2</sub>. Nuclei were stained with 10  $\mu$ M Syto Red Fluorescent dye (SYTO61 - S11343, Life Technologies, Molecular Probes, Saint Aubin, France) according to the manufacturer's protocol. After staining, cells were fixed with 4% paraformaldehyde in PBS, incubated for 30 min at room temperature in blocking solution (1% bovine serum albumin, 0.3 M glycine, 0.1% Tween 20 in PBS), and then with the polyclonal rabbit anti-FZD-5 diluted 1/500 (Abcam, UK) for 1 h. Then, the cells were washed with PBS-Tween 20 0.1% for 30 min and stained for 1 h at room temperature in the dark with an Alexa Fluor555-conjugated secondary antibody diluted 1/500 (Abcam, UK). Cells were then rinsed three times with PBS and analyzed by confocal laser scanning microscopy. Cells incubated with the Alexa Fluor555-conjugated secondary antibody diluted 1/500 only were used as negative control.

**Cell Internalization of NPs.** MIA PaCa-2 or NIH/3T3 cells ( $5 \times 10^4$  cells/mL) were cultured in 12-well plates for 24 h to achieve approximately 40% confluence. Cells were then incubated at 37 °C with 5% CO<sub>2</sub> for 6 h with 1  $\mu$ M of freshly prepared BChol-green-labeled SQdFdC NPs (**N0<sub>e</sub>**), SQdFdC/SQMal<sub>lipo</sub>/CKAAKN 5:1:0.25 NPs (**N1a-P\***), or SQdFdC/SQCKAAKN 1:0.01 NPs (**N4-P\***). Free BChol-green was used as control. After treatment, supernatants were discarded, and cells rinsed twice with PBS and harvested for measurement. Cell suspensions were analyzed by flow cytometry (Accuri C6, BD Biosciences, Le Pont de Claix, France) and mean fluorescence intensities were collected between 515 and 545 nm, using the 488 nm line of an argon laser for excitation. For all experiments 10 000 cells were measured from each sample.

For low temperature experiments, the cells were incubated in the cold room at 4 °C for 5 h. Results were expressed as the ratio of the mean fluorescence intensity of each sample to the mean fluorescence intensity of nontreated cells. This value was then corrected by the fluorescence factor of each NP suspension. All measurements were performed in triplicate or more to determine means and SD.

**Cytotoxicity Studies.** The in vitro cytotoxicity of NPs was investigated on MIA PaCa-2 and NIH/3T3 cell lines through the determination of the mitochondrial activity, using the 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyl tetrazolium bromide test (MTT, Sigma-Aldrich). Briefly, cells were seeded in 100  $\mu$ L of growth medium (MIA PaCa-2 ( $1 \times 10^4$  cells/mL), NIH/3T3 ( $0.75 \times 10^4$  cells/mL)) in 96-well plates and preincubated for 24 h. Cells were then treated with 100  $\mu$ L of a series of concentrations of different nanoparticle suspensions for 72 h. Initial cell density and incubation time were determined to allow cells to remain in exponential growth and to undergo two cell-doubling times during the assay. At the end of the incubation period, 20  $\mu$ L of a 5 mg/mL MTT solution in phosphate buffered saline was added to each well. After 2 h of incubation, the culture

medium was removed and replaced by 200  $\mu$ L of dimethyl sulfoxide (DMSO), in order to dissolve the formazan crystals. The absorbance of the solubilized dye was measured spectrophotometrically with a microplate reader (LAB System Original Multiscan MS) at 570 nm. The percentage of viable cells for each treatment was calculated from the ratio of the absorbance of the well containing the treated cells versus the average absorbance of the control wells (i.e., untreated cells). All experiments were set up in triplicate.

**Coculture.** NIH/3T3 fibroblasts ( $7.5 \times 10^4$  cells) were plated onto microscopic glass slides and incubated for 18 h at 37 °C with 5% CO<sub>2</sub> in complete medium. To visualize and distinguish between NIH/3T3 fibroblasts and MIA PaCa-2 cells in coculture, NIH/3T3 cells were stained with 5  $\mu$ M CellTracker green 5-chloromethylfluorescein diacetate (CMFDA, Life Technology, Molecular Probes) according to the manufacturer's protocol. After staining, cells were left to rest for 2 h at 37 °C with 5% CO<sub>2</sub> in cell culture medium, and then unstained MIA PaCa-2 cells ( $15 \times 10^4$  cells) were cocultured. The seeding ratio between MIA PaCa-2 and NIH/3T3 cells was 2:1 due to the faster doubling time of the latter. After 18 h, the coculture was incubated with BChol-red-labeled SQdFdC NPs and BChol-red-labeled SQdFdC/SQCKAAKN NPs diluted in fresh cell culture medium at 10  $\mu$ M. At different time points (2, 6, and 24 h) nanoparticles were withdrawn and cells washed with prewarmed culture medium before imaging. Free BChol-red was used as control.

**Confocal Laser Scanning Microscopy.** Observations were made by sequential acquisition with a Zeiss LSM-510 confocal laser microscope equipped with a 1 mW argon laser and 1 mW helium neon laser, using a Plan-Apochromat 20 $\times$  or 63 $\times$  objective lens (NA 1.40, oil immersion). Green fluorescence (CMFDA) was observed with a band-pass 505 and 550 nm emission filter and under a 488 nm laser illumination. Red fluorescence (BChol-red) was observed with a long-pass 560 nm emission filter and under a 543 nm laser illumination. The pinhole diameter was set at 98  $\mu$ m for CMFDA and 106  $\mu$ m for BChol-red and an optical section thickness of 0.4  $\mu$ m was fixed. Twelve bit numerical images were acquired with Carlo Zeiss ZEN 2011 software v 7.1.

## ■ ASSOCIATED CONTENT

### ■ Supporting Information

Synthesis of SQCKAAKN, Western blot analysis, immunocytochemistry analysis, and stability studies are provided. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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### Notes

The authors declare no competing financial interest.

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## ■ ABBREVIATIONS

dFdC, Gemcitabine; DLS, dynamic light scattering; DMEM, Dulbecco's modified eagle medium; EDC, *N*-ethyl-*N'*-(3-diethylaminopropyl)-carbodiimide; ESI, electron spray ionization; FBS, fetal bovine serum; FZD, frizzled; IC<sub>50</sub>, half maximal inhibitory concentration; ITC, isothermal titration calorimetry; MTT, 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide; NP, nanoparticle; NHS, *N*-hydroxysuccinimide; **N0<sub>a</sub>**, SQdFdC nanoparticles, acetone as organic solvent; **N0<sub>e</sub>**, SQdFdC nanoparticles, ethanol as organic solvent; **N1**, SQdFdC/SQMal<sub>lip</sub> nanoparticles; **N1<sub>a</sub>**, SQdFdC/SQMal<sub>lip</sub> nanoparticles, acetone as organic solvent; **N1<sub>e</sub>**, SQdFdC/SQMal<sub>lip</sub> nanoparticles, ethanol as organic solvent; **N2**, SQdFdC/SQMal<sub>hydro</sub> nanoparticles; **N3**, SQMal<sub>lip</sub> nanoparticles; **N4-P**, SQdFdC/SQCKAAKN nanoparticles; PDI, polydispersity index; SD, standard deviation; SEM, standard error of the mean; SPR, surface plasmon resonance; SQ, squalene; SQdFdC, 4-(*N*)-1,1',2-trisnorsqualenoylgemcitabine/4-(*N*)-1,1',2-trisnorsqualenoyl-2',2'-difluoro-2'-deoxycytidine; SQdFdC/SQCKAAKN NPs, CKAAKN-functionalized squalenoylgemcitabine nanoparticles; SQCKAAKN, Michael adduct of CKAAKN and 6-(2,5-dioxo-2,5-dihydro-1*H*-pyrrol-1-yl)-*N'*-(4*E*,8*E*,12*E*,16*E*)-4,8,13,17,21-pentamethyldocos-4,8,12,16,20-pentaen-1-ylidene]hexanehydrazide; SQMal<sub>hydro</sub>, 2-[2-(2,5-dioxo-2,5-dihydro-1*H*-pyrrol-1-yl)ethoxy]ethyl (4*E*,8*E*,12*E*,16*E*)-4,8,13,17,21-pentamethyldocos-4,8,12,16,20-pentaenoate; SQMal<sub>lip</sub>, 6-(2,5-dioxo-2,5-dihydro-1*H*-pyrrol-1-yl)-*N'*-(4*E*,8*E*,12*E*,16*E*)-4,8,13,17,21-pentamethyldocos-4,8,12,16,20-pentaen-1-ylidene]hexanehydrazide; ZP, zeta potential

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